RECEIVED CENTRAL FAX CENTER

SEP 0 9 2008

Docket No. <u>03089</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Lang H. Nguyen

Group Art Unit:

1796

Serial No.:

10/694,975

Examiner:

BERNSHTEYN, Michael M.

Filed:

October 28, 2003

Confirmation No.:

4434

For:

AQUEOUS COATING COMPOSITIONS

OFFICIAL PAPER - EXAMINER MICHAEL M. BERNSHTEYN

Facsimile No. 1-571-273-8300

(Total Number of Pages, including this sheet: 14)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that the attached paper (along with any paper referred to as attached or enclosed therein) is being transmitted by facsimile to the United States Patent and Trademark Office, Fax. No. 1-571-273-8300, on September 9, 2008.

Robert M. Amici Reg. No. 52,554

Cabot Corporation
157 Concord Road

Billerica, MA 01821

Enclosures:

Transmittal of Information Disclosure Statement (x2), Second Supplemental

Information Disclosure Statement, Form 1449, and 2 Cited References

M:\PAT\Eliz. O'Neil\PTO Forms\IDS FORM\03089-cert of trans.doc

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 03089	
In Re Applica	ation Of	f. Lang H. Nguyen				CENTRAL FAX CEN
						SEP 0 9 200
Application	No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/694,9	75	October 28, 2003	BERNSHTEYN, Michael M.	65672	1796	4434
Title: AQU	EOUS	COATING COMPOS	SITIONS			
			Address to: Commissioner for Paten P.O. Box 1450 Alexandria, VA 22313-14			
			37 CFR 1.97(b)			
of a thre app	a nation ee mon olication	nal application other ths of the date of en it, before the mailing	atement submitted herewith is than a continued prosecution try of the national stage as se of a first Office Action on the mest for continued examination un	application un t forth in 37 CF erits, or before	der 37 CFR 1.5 FR 1.491 in an in the mailing of a	3(d); within international
			37 CFR 1.97(c)			
CFI Fin:	R 1.970 al Actio	(b), provided that the on under 37 CFR 1	atement submitted herewith is e Information Disclosure State I.113, a Notice of Allowance in the application, and is accom	ment is filed b under 37 CFF	efore the mailing R 1.311, or an	g date of a
[(☐ the	statement specified i	in 37 CFR 1.97(e);			
			OR			
Į (፟ the	fee set forth in 37 CF	R 1.17(p).			
1						

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 03089		FIVE
n Re Application o	f: Lang H. Nguyer	1			· · · · · · · · · · · · · · · · · · ·	CENTRAL	
						SEP (9 2
Application No.	Filing Date	Examiner		Customer No.	Group Art Unit	Confirmation	No.
10/694,975	October 28, 2003	BERNSHTEYN, MI	ichael M.	65672	1796	4434	
itle: AQUEOUS	COATING COMPO						
as describ Compared to the control of the control	the amount of or is hereby authorized below. The amount of edit any overpayment arge any additional for credit card. Form Figure 1 to 1 t	is attached to charge and cred \$180.00 t. ee required. TO-2038 is attached is form may become e credit card inform by Facsimile*	ed. lit Deposit public. Cation and Ce [hereby with the las first "Commiss	Account No. Credit card info authorization extificate of Mai certify that this co United States Post class mail in sioner for Patents, 50" [37 CFR 1.8(a)	ormation should on PTO-2038. Ling by First Class mespondence is be all Service with suffiger an envelope at P.O. Box 1450, All	ss Mail ing deposited icient postage addressed to exandria, VA	
				J. D. Lucad Stania	of Person Mailing C	Cartificata	
	Signature RATION		<u> </u>	September 9, 2			
œ:							

TRA	NSMITTA	L OF INFORMA (Under 37 CFI	Docket No. 03089				
In Re A	oplication O	f: Lang H. Nguyer	1			CENTRAL FAX	
		• • • • • • • • • • • • • • • • • • • •				·	
Appli	cation No.	Filing Date	Examiner	Customer No.	Group Art Unit	SEP 0.9 Confirmation No.	2000
10/	694,975	October 28, 2003	BERNSHTEYN, Michael M.	65672	1796	4434	
Title:	AQUEOUS	COATING CÓMPOS	Address to: Commissioner for Paten	ts		<u></u>	
			P.O. Box 1450 Alexandria, VA 22313-14	50			
1. 🗆			37 CFR 1.97(b) atement submitted herewith is to		<i>a</i>	- C.V C.V	
	three mon	ths of the date of en	than a continued prosecution try of the national stage as set of a first Office Action on the most for continued examination under the continued of the continued examination under the contin	forth in 37 CF erits, or before	R 1.491 in an in the mailing of a	iternational	
2. ⊠	CFR 1.97(Final Action	(b), provided that the on under 37 CFR 1	atement submitted herewith is Information Disclosure State .113, a Notice of Allowance In the application, and is accomp	ment is filed be under 37 CFR	efore the mailing t 1.311, or an a	date of a	
	☐ the	statement specified i	n 37 CFR 1.97(e);				
			or				
	⊠ the	fee set forth in 37 CF	·R 1.17(p).				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 03089 RECEIV		IVED
In Re Application of	f: Lang H. Nguye)				CENTRAL FA	XCEN
					<u> </u>	SEP 0	9 2008
Application No.	Filing Date	Examine	Г	Customer No.	Group Art Unit	Confirmation No.	
10/694,975	October 28, 2003	BERNSHTEYN, N	Iichael M.	65672	1796	4434	
Title: AQUEOUS	COATING COMPO	SITIONS					
	(Only one	Paymonplete if Applicant elec	ent of Fee	ion eat forth in 37	CEP 1 17(n))		
as describa ⊠ Ch ⊠ Cre	the amount of or is hereby authorize	is attac ed to charge and cre \$180.00 t.	hed.				
☐ Payment by	y credit card. Form P	TO-2038 is attached					
WARNING included o	: Information on thi on this form. Provide	s form may become credit card inform	ne public. C nation and	redit card info authorization	rmation should on PTO-2038.	d not be	
Certific	ate of Transmission b	y Facsimile*	Ce	rtificate of Mail	ing by First Clas	ss Mail	
account is being	document and authoriza ng facsimile transmitted lemark Office (Fa		with the U as first "Commiss	inited States Posta class mail in	rrespondence is be al Service with suffi an envelope a P.O. Box 1450, Ala on	cient postage ddressed to	
	Signature			Signature of Per	son Mailing Corresp	ondence	
Typed or l	Printed Name of Person Sig	ning Certificate	Тур	Typed or Printed Name of Person Mailing Certificate			
*This certific deposit accord	eate may only be used unt. Signature	if paying by	Dated:	September 9, 20	008		
Robert M. Amci Reg. No. 52,544 CABOT CORPOR. 157 Concord Road Billerica, MA 01821 (978) 670-6191							
						P10A/REV05	

Docket No. 03089

CENTRAL FAX CENTER

PATENT

SEP 0 9 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Lang H. Nguyen

Group Art Unit: 1796

Serial No.:

10/694,975

Confirmation No. 4434

Filed:

October 28, 2003

For:

AQUEOUS COATING COMPOSITIONS

Commissioner for Patents Washington, D.C. 20231

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 C.F.R §§ 1.56, 1.97 and 1.98, Applicants respectfully request consideration of this Second Supplemental Information Disclosure Statement.

Section 1. Preliminary Statements

Applicants submit herewith patents, publications, or other information on the attached form PTO-1449 (modified) of which they are aware, which they believe may be material to the examination and/or patentability of the above-identified application and in respect of which there may be a duty to disclose.

The filing of this Second Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

By submitting this Second Supplemental Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

The order of presentation of the references should not be construed as an indication of the ance of the references.

The filing of this Second Supplemental Information Disclosure Statement shall not be an admission against interest in any manner.

Page 1 of 2

Page 1 of 2 importance of the references.

construed as an admission against interest in any manner.

Docket No. 03089 PATENT

Legible copies of all items listed on the attached form PTO-1449 (modified) are enclosed. It is respectfully requested that:

- 1. The Examiner considers completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
- 3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Notwithstanding the above, the Examiner is urged to form his/her own conclusions regarding the relevance of each cited reference. An early and favorable action is respectfully requested.

Respectfully submitted,

Date: September 9, 2008

Robert M. Amici Reg. No. 52,554

CABOT CORPORATION

157 Concord Road

Billerica, MA 01821-7001

(978) 670-6191

M-PAT-Eiz O'Neil-PTO Forms \IDS FORM\IIX89_IDSIetter doc